

**OFFICE OF
FINANCIAL INSTITUTIONS**
1025 CAPITAL CENTER DRIVE, SUITE 200
FRANKFORT, KY 40601

APPLICATION FOR A MORTGAGE LOAN COMPANY
AND/OR MORTGAGE LOAN BROKER HUD EXEMPTION

Applicants are required to claim the HUD exemption for each location that brokers or originates loans on Kentucky properties. Any location that is not HUD approved must apply for and receive a license per Kentucky Revised Statute Chapter 294.

Application is hereby made to the Commissioner, Office of Financial Institutions, for the following exempt location under KRS Chapter 294.

1. Name of Applicant _____
2. Name under which Applicant will conduct business in Kentucky (if different than above)

3. Corporate Telephone Number _____
4. Proposed Branch Telephone Number _____
5. Complete Address of **Corporate** Office : (street, city, state, zip code)

6. Complete Address of **Branch** Office: (street, city, state, zip code)

7. Name of Branch Manager _____
8. Attach Separate list of Registered Loan Officers/Originators
9. HUD Lender Number: _____
10. Name of Contact Person for Compliance/Complaint Issues

I hereby certify that I have truthfully completed this application. (Please provide notarized signature)

Applicant's Signature

* PLEASE INCLUDE AN APPLICATION FEE OF **\$150**, MAKE CHECK PAYABLE TO
“**KENTUCKY STATE TREASURER**”